SERIAL NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. DP-706 US PATENT AND TRADEMARK OFFICE **INFORMATION DISCLOSURE APPLICANT** STATEMENT BY APPLICANT Masaru TSUKIJI (Use several sheets if necessary) FILING DATE (37 CFR 1.98(b) **GROUP** January 18, 2001 **U.S. PATENT DOCUMENTS EXAMINER** ISSUE SUB FILING DATE INITIAL PATENT NUMBER DATE **PATENTEE CLASS CLASS** IF APPROPRIATE AA AB AC AD ΑE AF AG AΗ ΑI ΑJ AK FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION PUBL. TRANSI ATION SUB YES NO DOCUMENT NO. DATE COUNTRY OR PATENT OFFICE **CLASS** CLASS AL AM AN AO AP OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) M. KATO et al., "A Shallow-Trench-Isaolation Flash Memory AR Technology with a Source-Bias Programming Method", 1996, IEEE TECHNICAL DIGEST OF IEDM, pages 177-180. AS **EXAMINER** DATE CONSIDERED EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.